



# LEIGH ST MARY'S CE PRIMARY SCHOOL

## GDPR Data Protection Policy

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# Our School Mission Statement

**INSPIRE** To be the best that I can be

**BELIEVE** To believe I can make a difference for good in this world

**ACHIEVE** To know I have the roots to grow and the wings to fly

At Leigh St Mary's Church of England Primary School, we promote a life-long love of learning. Through enriching, exciting learning opportunities and a creative curriculum, children are given the foundations to flourish. Our Christian values are at the heart of our school and provide us with a culture of happiness, respect and trust.

We aim to promote a sense of belonging within a warm, nurturing environment where children feel safe and valued. We celebrate cultural diversity and believe that every child should have the opportunity to be the best that they can be.

Through our encouragement of good manners, kindness and friendship we aim to ensure our children display the values of good citizenship. We hope to instil in our children an 'I can' attitude and to provide our children with the tools to succeed in an ever-changing world.

## Statement of intent

Leigh St Mary's CE Primary School is required to keep and process certain information about its staff members and pupils in accordance with its legal obligations under the General Data Protection Regulation (GDPR).

The school may, from time to time, be required to share personal information about its staff or pupils with other organisations, mainly the LA, other schools and educational bodies, and potentially children's services.

This policy is in place to ensure all staff and governors are aware of their responsibilities and outlines how the school complies with the following core principles of the GDPR.

Organisational methods for keeping data secure are imperative, and Leigh St Mary's CE Primary School believes that it is good practice to keep clear practical policies, backed up by written procedures.

Signed by:

\_\_\_\_\_ Headteacher                      Date: \_\_\_\_\_

\_\_\_\_\_ Chair of governors                      Date: \_\_\_\_\_

## 1. Legal framework

- 1.1. This policy has due regard to legislation, including, but not limited to the following:
- The General Data Protection Regulation (GDPR)
  - The Freedom of Information Act 2000
  - The Education (Pupil Information) (England) Regulations 2005 (as amended in 2016)
  - The Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004
  - The School Standards and Framework Act 1998
- 1.2. This policy will also have regard to the following guidance:
- Information Commissioner's Office (2017) 'Overview of the General Data Protection Regulation (GDPR)'
  - Information Commissioner's Office (2017) 'Preparing for the General Data Protection Regulation (GDPR) 12 steps to take now'
  - DFE Guidance on cloud software services and the DFA
  - DFE Guidance on Information Sharing
- 1.3. This policy will be implemented in conjunction with the following other school policies:
- Photography and Videos at School Policy
  - E-security Policy
  - Freedom of Information Policy
  - CCTV Policy
  - Confidentiality Policy
  - Social Media Policy
  - Online Safety Policy

## 2. Definitions

**Automated Decision-Making (ADM):** when a decision is made which is based solely on Automated Processing (including profiling) which produces legal effects or significantly affects an individual. The GDPR prohibits Automated Decision-Making (unless certain conditions are met) but not Automated Processing.

**Automated Processing:** any form of automated processing of Personal Data consisting of the use of Personal Data to evaluate certain personal aspects relating to an individual, in particular to analyse or predict aspects concerning that individual's performance at work, economic situation, health, personal preferences, interests, reliability, behaviour, location or movements. Profiling is an example of Automated Processing.

**Company Personnel:** all employees, workers [contractors, agency workers, consultants,] directors, volunteers, governors, members and others.

**Consent:** agreement which must be freely given, specific, informed and be an unambiguous indication of the Data Subject's wishes by which they, by a statement or by a clear positive action, signifies agreement to the Processing of Personal Data relating to them.

**Data Controller:** the person or organisation that determines when, why and how to process Personal Data. It is responsible for establishing practices and policies in line with the GDPR. We are the Data Controller of all Personal Data relating to our Company Personnel and Personal Data used in our business for our own commercial purposes.

**Data Subject:** a living, identified or identifiable individual about whom we hold Personal Data. Data Subjects may be nationals or residents of any country and may have legal rights regarding their Personal Data.

**Data Privacy Impact Assessment (DPIA):** tools and assessments used to identify and reduce risks of a data processing activity. DPIA can be carried out as part of Privacy by Design and should be conducted for all major system or business change programs involving the Processing of Personal Data.

**Data Protection Officer (DPO):** the person required to be appointed in specific circumstances under the GDPR. Where a mandatory DPO has not been appointed, this term means a data protection manager or other voluntary appointment of a DPO or refers to the Company data privacy team with responsibility for data protection compliance.

**EEA:** the 28 countries in the EU, and Iceland, Liechtenstein and Norway.

**Explicit Consent:** consent which requires a very clear and specific statement (that is, not just action).

**General Data Protection Regulation (GDPR):** the General Data Protection Regulation ((EU) 2016/679). Personal Data is subject to the legal safeguards specified in the GDPR.

**Personal Data:** any information identifying a Data Subject or information relating to a Data Subject that we can identify (directly or indirectly) from that data alone or in combination with other identifiers we possess or can reasonably access. Personal Data includes Sensitive Personal Data and Pseudonymised Personal Data but excludes anonymous data or data that has had the identity of an individual permanently removed. Personal data can be factual (for example, a name, email address, location or date of birth) or an opinion about that person's actions or behaviour.

**Personal Data Breach:** any act or omission that compromises the security, confidentiality, integrity or availability of Personal Data or the physical, technical, administrative or organisational safeguards that we or our third-party service providers put in place to protect it. The loss, or unauthorised access, disclosure or acquisition, of Personal Data is a Personal Data Breach.

**Privacy by Design:** implementing appropriate technical and organisational measures in an effective manner to ensure compliance with the GDPR.

**Privacy Notices (also referred to as Fair Processing Notices) or Privacy Policies:** separate notices setting out information that may be provided to Data Subjects when the Company collects information about them. These notices may take the form of general privacy statements applicable

to a specific group of individuals (for example, employee privacy notices or pupil privacy notices) or they may be stand-alone, one time privacy statements covering Processing related to a specific purpose.

**Processing or Process:** any activity that involves the use of Personal Data. It includes obtaining, recording or holding the data, or carrying out any operation or set of operations on the data including organising, amending, retrieving, using, disclosing, erasing or destroying it. Processing also includes transmitting or transferring Personal Data to third parties.

**Pseudonymisation or Pseudonymised:** replacing information that directly or indirectly identifies an individual with one or more artificial identifiers or pseudonyms so that the person, to whom the data relates, cannot be identified without the use of additional information which is meant to be kept separately and secure.

**Sensitive Personal Data:** information revealing racial or ethnic origin, political opinions, religious or similar beliefs, trade union membership, physical or mental health conditions, sexual life, sexual orientation, biometric or genetic data, and Personal Data relating to criminal offences and convictions.

### 3. Roles and Responsibilities

#### 3.1. The Data Controller

- 3.1.1. The school, as the corporate body, is the data controller.
- 3.1.2. The governors of the school therefore has overall responsibility for ensuring that records are maintained, including security and access arrangements in accordance with regulations and that the school is complying with its obligations under the General Data Protection Regulation.
- 3.1.3. The school business manager deals with the day-to-day matters relating to data protection.
- 3.1.4. The school business manager is responsible for processing personal information relating to pupils, staff, volunteers, governors and visitors on the school's behalf.
- 3.1.5. On occasion, personal information may be processed by outside organisations involved in data processing. By involving another organisation in data processing, the school increases certain risks. The security of the personal information is covered in a formal contract between the school and any outside organisation. See appendix 1 for an example of the formal contract used.
- 3.1.6. The Headteacher will ensure that all staff are aware of their data protection obligations, and oversee any queries related to the storing or processing of personal data. Staff are responsible for ensuring that they collect and store any personal data in accordance with this policy.
- 3.1.7. All staff and governors will sign a privacy standard to acknowledge that they understand and will follow the procedures set by the school on how personal data is to be handled. (optional – will forward you a privacy standard)

3.1.8. The school is registered as a data controller with the Information Commissioner's Office and renews this registration annually.

### 3.2. The Data Protection Officer (DPO)

3.2.1. A DPO will be appointed in order to:

- Inform and advise the school and its employees about their obligations to comply with the GDPR and other data protection laws.
- Monitor the school's compliance with the GDPR and other laws, including managing internal data protection activities, advising on data protection impact assessments, conducting internal audits, and providing the required training to staff members.
- Be the first point of contact with the ICO and data subjects

3.2.2. The school must ensure that the appointed DPO's day to day duties are compatible with the duties of the DPO and do not lead to a conflict of interests.

3.2.3. The individual appointed as DPO will have professional experience and knowledge of data protection law, particularly that in relation to schools.

3.2.4. The DPO will report to the highest level of management at the school, which is the Headteacher.

3.2.5. The DPO will operate independently and will not be dismissed or penalised for performing their task.

3.2.6. Sufficient resources will be provided to the DPO to enable them to meet their GDPR obligations.

3.2.7. The school has appointed Joanne Buckley as their DPO

## 4. Principles

4.1. The school adhere to the Principles relating to Processing of Personal Data set out in the GDPR which requires personal data to be:

- Processed lawfully, fairly and in a transparent manner in relation to individuals.
- Collected for specified, explicit and legitimate purposes and not further processed in a manner that is incompatible with those purposes; further processing for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes shall not be considered to be incompatible with the initial purposes.
- Adequate, relevant and limited to what is necessary in relation to the purposes for which they are processed.
- Accurate and, where necessary, kept up-to-date; every reasonable step must be taken to ensure that personal data that are inaccurate, having regard to the purposes for which they are processed, are erased or rectified without delay.

- Kept in a form which permits identification of data subjects for no longer than is necessary for the purposes for which the personal data are processed; personal data may be stored for longer periods, insofar as the personal data will be processed solely for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes, subject to implementation of the appropriate technical and organisational measures required by the GDPR in order to safeguard the rights and freedoms of individuals.
- Processed in a manner that ensures appropriate security of the personal data, including protection against unauthorised or unlawful processing and against accidental loss, destruction or damage, using appropriate technical or organisational measures.
- Not transferred to another country without appropriate safeguards being in place
- Made available to data subjects and allowing them to exercise certain rights in relation to their personal data.

4.2. The GDPR also requires that “the controller shall be responsible for, and able to demonstrate, compliance with the principles”.

## 5. Accountability

- 5.1. School will implement appropriate technical and organisational measures to demonstrate that data is processed in line with the principles set out in the GDPR.
- 5.2. The school will provide comprehensive, clear and transparent privacy policies.
- 5.3. Records of activities relating to higher risk processing will be maintained, such as the processing of special categories data or that in relation to criminal convictions and offences.
  - Internal records of processing activities will include the following:
    - Name and details of the organisation
    - Purpose(s) of the processing
    - Description of the categories of individuals and personal data
    - Retention schedules
    - Categories of recipients of personal data
    - Storage locations
    - Description of technical and organisational security measures
    - Details of transfers to third parties, including documentation of the transfer mechanism safeguards in place
- 5.4. In order to create such records, data maps should be created which should include the details set out above together with appropriate data flows.
- 5.5. The school will implement measures that meet the principles of data protection by design and data protection by default, such as:
  - Data minimisation.



- Pseudonymisation.
  - Transparency.
  - Allowing individuals to monitor processing.
  - Continuously creating and improving security features.
- 5.6. Data protection impact assessments will be used, where appropriate.

## 6. Lawful processing

- 6.1. The legal basis for processing data will be identified and documented prior to data being processed.
- 6.2. Under the GDPR, data will be lawfully processed under the following conditions:
- The consent of the data subject has been obtained.
  - Processing is necessary for:
    - Compliance with a legal obligation.
    - The performance of a task carried out in the public interest or in the exercise of official authority vested in the controller.
    - For the performance of a contract with the data subject or to take steps to enter into a contract.
    - Protecting the vital interests of a data subject or another person.
    - For the purposes of legitimate interests pursued by the controller or a third party, except where such interests are overridden by the interests, rights or freedoms of the data subject. (This condition is not available to processing undertaken by the school in the performance of its tasks.)
- 6.3. Sensitive data will only be processed under the following conditions:
- Explicit consent of the data subject, unless reliance on consent is prohibited by EU or Member State law.
  - Processing carried out by a not-for-profit body with a political, philosophical, religious or trade union aim provided the processing relates only to members or former members (or those who have regular contact with it in connection with those purposes) and provided there is no disclosure to a third party without consent.
  - Processing relates to personal data manifestly made public by the data subject.
  - Processing is necessary for:
    - Carrying out obligations under employment, social security or social protection law, or a collective agreement.
    - Protecting the vital interests of a data subject or another individual where the data subject is physically or legally incapable of giving consent.
    - The establishment, exercise or defence of legal claims or where courts are acting in their judicial capacity.

- Reasons of substantial public interest on the basis of Union or Member State law which is proportionate to the aim pursued and which contains appropriate safeguards.
- The purposes of preventative or occupational medicine, for assessing the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or management of health or social care systems and services on the basis of Union or Member State law or a contract with a health professional.
- Reasons of public interest in the area of public health, such as protecting against serious cross-border threats to health or ensuring high standards of healthcare and of medicinal products or medical devices.
- Archiving purposes in the public interest, or scientific and historical research purposes or statistical purposes in accordance with Article 89(1).

## 7. Data Subject's Rights and Requests

7.1. Data Subjects have rights when it comes to how we handle their Personal Data. These include rights to:

- withdraw **Consent** to Processing at any time;
- **receive certain information** about the Data Controller's Processing activities;
- request **access** to their Personal Data that we hold;
- prevent our use of their Personal Data for direct marketing purposes;
- ask us to **erase** Personal Data if it is no longer necessary in relation to the purposes for which it was collected or Processed or to **rectify** inaccurate data or to complete incomplete data;
- **restrict** Processing in specific circumstances;
- **challenge** Processing which has been justified on the basis of our legitimate interests or in the public interest;
- request a copy of an agreement under which Personal Data is transferred outside of the EEA;
- object to decisions based solely on **Automated Processing**, including profiling (ADM);
- prevent Processing that is likely to cause damage or distress to the Data Subject or anyone else;
- be notified of a Personal Data Breach which is likely to result in high risk to their rights and freedoms;
- make a complaint to the supervisory authority; and

- in limited circumstances, receive or ask for their Personal Data to be **transferred** to a third party in a structured, commonly used and machine readable format.
- 7.2. Further information about these rights including the school procedures in dealing with these rights are detailed below. It is essential to verify the identity of an individual requesting data under any of the rights listed above (Personal data cannot be disclosed to third parties proper authorisation).

## 8. Consent

- 8.1. Consent must be a positive indication. It cannot be inferred from silence, inactivity or pre-ticked boxes.
- 8.2. Consent will only be accepted where it is freely given, specific, informed and an unambiguous indication of the individual's wishes.
- 8.3. Consent may need to be refreshed if the use of the Personal Data is for a different and incompatible purpose which was not disclosed when the Data Subject first consented.
- 8.4. Where consent is given, a record will be kept documenting how and when consent was given.
- 8.5. The school ensures that consent mechanisms meet the standards of the GDPR. Where the standard of consent cannot be met, an alternative legal basis for processing the data must be found, or the processing must cease.
- 8.6. Consent accepted under the DPA will be reviewed to ensure it meets the standards of the GDPR; Acceptable consent obtained under the DPA will not be reobtained.
- 8.7. Consent can be withdrawn by the individual at any time.
- 8.8. Where a child is under the age of 16 [or younger if the law provides it (up to the age of 13 – still not decided by government)], the consent of parents will be sought prior to the processing of their data, except where the processing is related to preventative or counselling services offered directly to a child.

## 9. The right to be informed (Privacy Notices)

- 9.1. The school recognises that its staff and pupils need to know what it does with the information it holds about them.
- 9.2. The school issues a general privacy notice annually, detailing the purposes for which personal data collected by the school will be used.
- 9.3. If personal details are being recorded for a specific purpose, a specific privacy notice is issued.
- 9.4. The general privacy notice is also published on the schools' website.
- 9.5. The privacy notice supplied to individuals in regards to the processing of their personal data will be written in clear, plain language which is concise, transparent, easily accessible and free of charge.

- 9.6. If services are offered directly to a child, the school will ensure that the privacy notice is written in a clear, plain manner that the child will understand.
- 9.7. In relation to data obtained both directly from the data subject and not obtained directly from the data subject, the following information will be supplied within the privacy notice:
- The identity and contact details of the controller (and where applicable, the controller's representative) and the DPO.
  - The purpose of, and the legal basis for, processing the data.
  - The legitimate interests of the controller or third party.
  - Any recipient or categories of recipients of the personal data.
  - Details of transfers to third countries and the safeguards in place.
  - The retention period of criteria used to determine the retention period.
  - The existence of the data subject's rights, including the right to:
    - Withdraw consent at any time.
    - Lodge a complaint with a supervisory authority.
  - The existence of automated decision making, including profiling, how decisions are made, the significance of the process and the consequences.
- 9.8. Where data is obtained directly from the data subject, information regarding whether the provision of personal data is part of a statutory or contractual requirement, as well as any possible consequences of failing to provide the personal data, will be provided.
- 9.9. Where data is not obtained directly from the data subject, information regarding the categories of personal data that the school holds, the source that the personal data originates from and whether it came from publicly accessible sources, will be provided.
- 9.10. For data obtained directly from the data subject, this information will be supplied at the time the data is obtained.
- 9.11. In relation to data that is not obtained directly from the data subject, this information will be supplied:
- Within one month of having obtained the data.
  - If disclosure to another recipient is envisaged, at the latest, before the data are disclosed.
  - If the data are used to communicate with the individual, at the latest, when the first communication takes place.
- 9.12. Personal information is only made available to staff and governors who need that particular information to do their jobs, and is only made available at the time that it is needed.
- 9.13. Members of staff and parents/carers are responsible for checking that any information that they provide to the school, in connection with their employment or in regard to a registered pupil, is accurate and up-to-date.

- 9.14. The school cannot be held accountable for any errors unless the employee or parent has informed the school about such changes.
- 9.15. The school business manager is responsible for monitoring fair processing controls on an on-going basis.

## 10. The right of access

- 10.1. Individuals have the right to obtain confirmation that their data is being processed.
- 10.2. Individuals have the right to submit a subject access request (SAR) to gain access to their personal data in order to verify the lawfulness of the processing.
- 10.3. The school will verify the identity of the person making the request before any information is supplied.
- 10.4. A copy of the information will be supplied to the individual free of charge; however, the school may impose a 'reasonable fee' to comply with requests for further copies of the same information.
- 10.5. Where a SAR has been made electronically, the information will be provided in a commonly used electronic format.
- 10.6. Where a request is manifestly unfounded, excessive or repetitive, a reasonable fee will be charged.
- 10.7. All fees will be based on the administrative cost of providing the information.
- 10.8. All requests will be responded to without delay and at the latest, within one month of receipt.
- 10.9. In the event of numerous or complex requests, the period of compliance will be extended by a further two months. The individual will be informed of this extension, and will receive an explanation of why the extension is necessary, within one month of the receipt of the request.
- 10.10. Where a request is manifestly unfounded or excessive, the school holds the right to refuse to respond to the request. The individual will be informed of this decision and the reasoning behind it, as well as their right to complain to the supervisory authority and to a judicial remedy, within one month of the refusal.
- 10.11. In the event that a large quantity of information is being processed about an individual, the school will ask the individual to specify the information the request is in relation to.
- 10.12. See Appendix 2 for example of SAR response letter.

## 11. The right to rectification

- 11.1. Individuals are entitled to have any inaccurate or incomplete personal data rectified.
- 11.2. Where the personal data in question has been disclosed to third parties, the school will inform them of the rectification where possible.
- 11.3. Where appropriate, the school will inform the individual about the third parties that the data has been disclosed to.

- 11.4. Requests for rectification will be responded to within one month; this will be extended by two months where the request for rectification is complex.
- 11.5. Where no action is being taken in response to a request for rectification, the school will explain the reason for this to the individual, and will inform them of their right to complain to the supervisory authority and to a judicial remedy.
- 11.6. The accuracy of any personal data must be checked at the point of collection and at regular intervals afterwards. Measures must be taken to destroy or amended inaccurate or out of date personal data.

## 12. The right to erasure

- 12.1. Individuals hold the right to request the deletion or removal of personal data where there is no compelling reason for its continued processing.
- 12.2. Individuals have the right to erasure in the following circumstances:
- Where the personal data is no longer necessary in relation to the purpose for which it was originally collected/processed
  - When the individual withdraws their consent
  - When the individual objects to the processing and there is no overriding legitimate interest for continuing the processing
  - The personal data was unlawfully processed
  - The personal data is required to be erased in order to comply with a legal obligation
  - The personal data is processed in relation to the offer of information society services to a child
- 12.3. The school has the right to refuse a request for erasure where the personal data is being processed for the following reasons:
- To exercise the right of freedom of expression and information
  - To comply with a legal obligation for the performance of a public interest task or exercise of official authority
  - For public health purposes in the public interest
  - For archiving purposes in the public interest, scientific research, historical research or statistical purposes
  - The exercise or defence of legal claims
- 12.4. As a child may not fully understand the risks involved in the processing of data when consent is obtained, special attention will be given to existing situations where a child has given consent to processing and they later request erasure of the data, regardless of age at the time of the request.
- 12.5. Where personal data has been disclosed to third parties, they will be informed about the erasure of the personal data, unless it is impossible or involves disproportionate effort to do so.

- 12.6. Where personal data has been made public within an online environment, the school will inform other organisations who process the personal data to erase links to and copies of the personal data in question.

### 13. The right to restrict processing

- 13.1. Individuals have the right to block or suppress the school's processing of personal data.
- 13.2. In the event that processing is restricted, the school will store the personal data, but not further process it, guaranteeing that just enough information about the individual has been retained to ensure that the restriction is respected in future.
- 13.3. The school will restrict the processing of personal data in the following circumstances:
- Where an individual contests the accuracy of the personal data, processing will be restricted until the school has verified the accuracy of the data
  - Where an individual has objected to the processing and the school is considering whether their legitimate grounds override those of the individual
  - Where processing is unlawful and the individual opposes erasure and requests restriction instead
  - Where the school no longer needs the personal data but the individual requires the data to establish, exercise or defend a legal claim
- 13.4. If the personal data in question has been disclosed to third parties, the school will inform them about the restriction on the processing of the personal data, unless it is impossible or involves disproportionate effort to do so.
- 13.5. The school will inform individuals when a restriction on processing has been lifted.

### 14. The right to data portability

- 14.1. Individuals have the right to obtain and reuse their personal data for their own purposes across different services.
- 14.2. Personal data can be easily moved, copied or transferred from one IT environment to another in a safe and secure manner, without hindrance to usability.
- 14.3. The right to data portability only applies in the following cases:
- To personal data that an individual has provided to a controller
  - Where the processing is based on the individual's consent or for the performance of a contract
  - When processing is carried out by automated means
- 14.4. Personal data will be provided in a structured, commonly used and machine-readable form.
- 14.5. The school will provide the information free of charge.
- 14.6. Where feasible, data will be transmitted directly to another organisation at the request of the individual.

- 14.7. The school is not required to adopt or maintain processing systems which are technically compatible with other organisations.
- 14.8. In the event that the personal data concerns more than one individual, the school will consider whether providing the information would prejudice the rights of any other individual.
- 14.9. The school will respond to any requests for portability within one month.
- 14.10. Where the request is complex, or a number of requests have been received, the timeframe can be extended by two months, ensuring that the individual is informed of the extension and the reasoning behind it within one month of the receipt of the request.
- 14.11. Where no action is being taken in response to a request, the school will, without delay and at the latest within one month, explain to the individual the reason for this and will inform them of their right to complain to the supervisory authority and to a judicial remedy.

## 15. The right to object

- 15.1. The school will inform individuals of their right to object at the first point of communication, and this information will be outlined in the privacy notice and explicitly brought to the attention of the data subject, ensuring that it is presented clearly and separately from any other information.
- 15.2. Individuals have the right to object to the following:
- Processing based on legitimate interests or the performance of a task in the public interest
  - Direct marketing
  - Processing for purposes of scientific or historical research and statistics.
- 15.3. Where personal data is processed for the performance of a legal task or legitimate interests:
- An individual's grounds for objecting must relate to his or her particular situation.
  - The school will stop processing the individual's personal data unless the processing is for the establishment, exercise or defence of legal claims, or, where the school can demonstrate compelling legitimate grounds for the processing, which override the interests, rights and freedoms of the individual.
- 15.4. Where personal data is processed for direct marketing purposes:
- The school will stop processing personal data for direct marketing purposes as soon as an objection is received.
  - The school cannot refuse an individual's objection regarding data that is being processed for direct marketing purposes.
- 15.5. Where personal data is processed for research purposes:
- The individual must have grounds relating to their particular situation in order to exercise their right to object.



- Where the processing of personal data is necessary for the performance of a public interest task, the school is not required to comply with an objection to the processing of the data.
- 15.6. Where the processing activity is outlined above, but is carried out online, the school will offer a method for individuals to object online.

## 16. Automated decision making and profiling

- 16.1. Individuals have the right not to be subject to a decision when:
- It is based on automated processing, e.g. profiling.
  - It produces a legal effect or a similarly significant effect on the individual.
- 16.2. The school will take steps to ensure that individuals are able to obtain human intervention, express their point of view, and obtain an explanation of the decision and challenge it.
- 16.3. When automatically processing personal data for profiling purposes, the school will ensure that the appropriate safeguards are in place, including:
- Ensuring processing is fair and transparent by providing meaningful information about the logic involved, as well as the significance and the predicted impact.
  - Using appropriate mathematical or statistical procedures.
  - Implementing appropriate technical and organisational measures to enable inaccuracies to be corrected and minimise the risk of errors.
  - Securing personal data in a way that is proportionate to the risk to the interests and rights of the individual and prevents discriminatory effects.
- 16.4. Automated decisions must not concern a child or be based on the processing of sensitive data, unless:
- The school has the explicit consent of the individual.
  - The processing is necessary for reasons of substantial public interest on the basis of Union/Member State law.

## 17. Privacy by design and privacy impact assessments

- 17.1. The school will act in accordance with the GDPR by adopting a privacy by design approach and implementing technical and organisational measures which demonstrate how the school has considered and integrated data protection into processing activities. The following must be taken into account:
- 17.2. The state of the art
- 17.3. The cost of implementation
- 17.4. The nature, scope, context and purposes of processing
- 17.5. The risks of varying likelihoods and severity for rights and freedoms of Data Subjects posed by the Processing

- 17.6. Data protection impact assessments (DPIAs) will be used to identify the most effective method of complying with the school's data protection obligations and meeting individuals' expectations of privacy.
- 17.7. DPIAs will allow the school to identify and resolve problems at an early stage, thus reducing associated costs and preventing damage from being caused to the school's reputation which might otherwise occur.
- 17.8. A DPIA will be carried out when using new technologies or when the processing is likely to result in a high risk to the rights and freedoms of individuals.
- 17.9. A DPIA will be used for more than one project, where necessary.
- 17.10. High risk processing includes, but is not limited to, the following:
- Systematic and extensive processing activities, such as profiling
  - Large scale processing of special categories of data or personal data which is in relation to criminal convictions or offences
  - The use of CCTV.
- 17.11. The school will ensure that all DPIAs include the following information:
- A description of the processing operations and the purposes
  - An assessment of the necessity and proportionality of the processing in relation to the purpose
  - An outline of the risks to individuals
  - The measures implemented in order to address risk
- 17.12. Where a DPIA indicates high risk data processing, the school will consult the ICO to seek its opinion as to whether the processing operation complies with the GDPR.

## 18. Data breaches

- 18.1. The term 'personal data breach' refers to a breach of security which has led to the destruction, loss, alteration, unauthorised disclosure of, or access to, personal data.
- 18.2. The Headteacher will ensure that all staff members are made aware of, and understand, what constitutes a data breach as part of their CPD training.
- 18.3. Where a breach is likely to result in a risk to the rights and freedoms of individuals, the relevant supervisory authority will be informed.
- 18.4. All notifiable breaches will be reported to the relevant supervisory authority within 72 hours of the school becoming aware of it.
- 18.5. The risk of the breach having a detrimental effect on the individual, and the need to notify the relevant supervisory authority, will be assessed on a case-by-case basis.
- 18.6. In the event that a breach is likely to result in a high risk to the rights and freedoms of an individual, the school will notify those concerned directly.
- 18.7. A 'high risk' breach means that the threshold for notifying the individual is higher than that for notifying the relevant supervisory authority.
- 18.8. In the event that a breach is sufficiently serious, the public will be notified without undue delay.

- 18.9. Effective and robust breach detection, investigation and internal reporting procedures are in place at the school, which facilitate decision-making in relation to whether the relevant supervisory authority or the public need to be notified.
- 18.10. All evidence relating to the potential Personal data breach must be preserved.
- 18.11. Within a breach notification, the following information will be outlined:
- The nature of the personal data breach, including the categories and approximate number of individuals and records concerned
  - The name and contact details of the DPO
  - An explanation of the likely consequences of the personal data breach
  - A description of the proposed measures to be taken to deal with the personal data breach
  - Where appropriate, a description of the measures taken to mitigate any possible adverse effects
- 18.12. Failure to report a breach when required to do so may result in a fine, as well as a fine for the breach itself.

## 19. Data security and Confidentiality

- 19.1. The Trust/school will ensure data security is maintained by protecting the confidentiality, integrity and availability of the Personal Data, defined as follows:
- Confidentiality means that only people who have a need to know and are authorised to use the Personal Data can access it.
  - Integrity means that Personal Data is accurate and suitable for the purpose for which it is processed.
  - Availability means that authorised users are able to access the Personal Data when they need it for authorised purposes.
- 19.2. Confidential paper records will be kept in a locked filing cabinet, drawer or safe, with restricted access.
- 19.3. Confidential paper records will not be left unattended or in clear view anywhere with general access.
- 19.4. Digital data is coded, encrypted or password-protected, both on a local hard drive and on a network drive that is regularly backed up off-site.
- 19.5. Where data is saved on removable storage or a portable device, the device will be kept in a locked filing cabinet, drawer or safe when not in use.
- 19.6. Memory sticks will not be used to hold personal information unless they are password-protected and fully encrypted.
- 19.7. All electronic devices are password-protected to protect the information on the device in case of theft.
- 19.8. Where possible, the school enables electronic devices to allow the remote blocking or deletion of data in case of theft.
- 19.9. Staff and governors will not use their personal laptops or computers for school purposes.

- 19.10. All necessary members of staff are provided with their own secure login and password, and every computer regularly prompts users to change their password.
- 19.11. Emails containing sensitive or confidential information are password-protected if there are unsecure servers between the sender and the recipient.
- 19.12. Circular emails to parents are sent blind carbon copy (bcc), so email addresses are not disclosed to other recipients.
- 19.13. When sending confidential information by fax, staff will always check that the recipient is correct before sending.
- 19.14. Where personal information that could be considered private or confidential is taken off the premises, either in electronic or paper format, staff will take extra care to follow the same procedures for security, e.g. keeping devices under lock and key. The person taking the information from the school premises accepts full responsibility for the security of the data.
- 19.15. Before sharing data, all staff members will ensure:
- They are allowed to share it.
  - That adequate security is in place to protect it.
  - Who will receive the data has been outlined in a privacy notice.
- 19.16. Under no circumstances are visitors allowed access to confidential or personal information. Visitors to areas of the school containing sensitive information are supervised at all times.
- 19.17. The physical security of the school's buildings and storage systems, and access to them, is reviewed on a termly basis. If an increased risk in vandalism/burglary/theft is identified, extra measures to secure data storage will be put in place.
- 19.18. School takes its duties under the GDPR seriously and any unauthorised disclosure may result in disciplinary action.
- 19.19. The school business manager is responsible for continuity and recovery measures are in place to ensure the security of protected data.

## 20. Transfer Limitation

- 20.1. The GDPR restricts data transfers to countries outside the EEA in order to ensure that the level of data protection afforded to individuals by the GDPR is not undermined. Personal Data Transferring is defined as Personal Data originating in one country across borders being transmitted, sent, viewed or access in or to a different country.
- 20.2. Personal Data must only be transferred outside the EEA if one of the following conditions applies:
- the European Commission has issued a decision confirming that the country to which we transfer the Personal Data ensures an adequate level of protection for the Data Subjects' rights and freedoms;
  - appropriate safeguards are in place such as binding corporate rules (BCR), standard contractual clauses approved by the European Commission, an

approved code of conduct or a certification mechanism, a copy of which can be obtained from the DPO;

- the Data Subject has provided Explicit Consent to the proposed transfer after being informed of any potential risks; or
- the transfer is necessary for one of the other reasons set out in the GDPR including the performance of a contract between us and the Data Subject, reasons of public interest, to establish, exercise or defend legal claims or to protect the vital interests of the Data Subject where the Data Subject is physically or legally incapable of giving Consent and, in some limited cases, for our legitimate interest.

## 21. Cloud Software Services

21.1. Responsibility for all areas of data protection compliance still rests with the school even when using cloud based software.

21.2. The key obligations that need to be addressed under GDPR are:

- Data Processing – The school must ensure a contract and data processing agreement is in place with the supplier to ensure they comply.
- Data Confidentiality – The supplier must provide to the school sufficient guarantees about the technical and organisational security measures governing the processing to be carried out.
- Data Integrity – Data integrity is defined as "the property that data is authentic and has not been maliciously or accidentally altered during processing, storage or transmission" Suppliers need to confirm compliance
- Service Availability – There may be accidental loss of network connectivity between the school and the service provider. Therefore the Trust should ensure measures are in place to cope with the possibility of disruptions such as backup internet links (depending on level of risk)
- Data Transfers beyond the European Economic Area (EEA) – Suppliers should confirm they meet the requirements of the GDPR re. permitted transfers of personal data beyond the EEA.
- Use of Advertising – The school will not permit any cloud based software provider to engage in advertisement related data mining/profiling activities without their consent.

21.3. The School before subscribing and using any cloud based software will ensure its suppliers comply with the GDPR and its main provisions. This is carried out by asking the supplier to complete a self-certification checklist which the Department for Education is currently facilitating. In some cases the School may carry out a Privacy Impact Assessment (see DFE guidance on code of practise on PIAS

## 22. Publication of information

- 22.1. School publishes a publication scheme on its website outlining classes of information that will be made routinely available, including:
- Policies and procedures
  - Minutes of meetings
  - Annual reports
  - Financial information
- 22.2. Classes of information specified in the publication scheme are made available quickly and easily on request.
- 22.3. School will not publish any personal information, including photos, on its website without the permission of the affected individual.
- 22.4. When uploading information to the school website, staff are considerate of any metadata or deletions which could be accessed in documents and images on the site.

## 23. CCTV and photography

- 23.1. The school understands that recording images of identifiable individuals constitutes as processing personal information, so it is done in line with data protection principles.
- 23.2. The school notifies all pupils, staff and visitors of the purpose for collecting CCTV images via notice boards, letters and email.
- 23.3. Cameras are only placed where they do not intrude on anyone's privacy and are necessary to fulfil their purpose.
- 23.4. All CCTV footage will be kept for six months for security purposes; the SBM is responsible for keeping the records secure and allowing access.
- 23.5. The school will always indicate its intentions for taking photographs of pupils and will retrieve permission before publishing them.
- 23.6. If the school wishes to use images/video footage of pupils in a publication, such as the school website, prospectus, or recordings of school plays, written permission will be sought for the particular usage from the parent of the pupil.
- 23.7. Precautions, as outlined in the Photography and Videos at School Policy, are taken when publishing photographs of pupils, in print, video or on the school website.
- 23.8. Images captured by individuals for recreational/personal purposes, and videos made by parents for family use, are exempt from the GDPR.

## 24. Data Retention

- 24.1. Data will not be kept for longer than is necessary.
- 24.2. Unrequired data will be deleted as soon as practicable. This includes requiring third parties to delete such data where applicable.

- 24.3. The school will maintain retention policies and procedures to ensure Personal Data is deleted after a reasonable time for the purposes for which it was being held, unless a law requires such data to be kept for a minimum time.
- 24.4. Some educational records relating to former pupils or employees of the school may be kept for an extended period for legal reasons, but also to enable the provision of references or academic transcripts.
- 24.5. Paper documents will be shredded or pulped, and electronic memories scrubbed clean or destroyed, once the data should no longer be retained.
- 24.6. Data Subjects are informed of the period for which data is stored and how that period is determined in any applicable Privacy Notice or Fair Processing Notice.

## **25.DBs Data**

- 25.1. All data provided by the DBS will be handled in line with data protection legislation; this includes electronic communication.
- 25.2. Data provided by the DBS will never be duplicated.
- 25.3. Any third parties who access DBS information will be made aware of the data protection legislation, as well as their responsibilities as a data handler.

## **26.Training and Audit**

- 26.1. All members of staff, including governors will receive training to enable them to comply with data privacy laws as part of their induction.
- 26.2. The training is reinforced at regular intervals throughout their employment or term as governor.
- 26.3. A regular review of all the systems and processes in place will take place to ensure compliance with GDPR and that adequate governance controls and resources are in place to ensure proper use and protection of personal data.

## **27.Policy review**

- 27.1. The SBM and the Headteacher review this policy every two years.
- 27.2. The next scheduled review date for this policy is September 2023.

## Appendix 1

### DATA PROCESSOR AGREEMENT

Between the School and xxxxxx

Under the Data Protection Act 1998, School is required to put in place an agreement in writing with any organisation, which processes personal data on its behalf to govern the processing of those data. This is to ensure anyone processing personal information on behalf of the School agrees to ensure the same level of security in respect of that information to ensure the Trust and its academies continue to meet their obligations under the Data Protection Act 1998.

Under a services agreement made between The School and xxxxx on xxxxx ('the Agreement') xxxxx has agreed to provide a service to the School which requires xxxxx to have access to personal data for which the School is (and remains) the 'data controller' for the purposes of the GDPR. The other party to this agreement, xxxxx, will be a 'data processor' under that Act.

xxxxx agrees to store and process the personal data in accordance with the terms of this data processor agreement as set out below. The parties agree that the terms of this data processor agreement shall be incorporated and shall form part of the terms and conditions of the Agreement. To the extent that any of the terms of this data processor agreement conflict with the terms of the Agreement, the terms of this data processor agreement shall prevail.

<b>1. Parties to the agreement:</b>
The School and the third party (xxxxx)
<b>2. Contacts</b>
<b>3. Service to be provided</b>
Provide a brief re. the service to be provided
<b>4. End date</b>
The end date of this agreement will be xxxxx from the start date. The start date is the date this agreement is signed by xxxxx. Should The School agree to extend the contract for the provision of the xxxxx with xxxxx beyond 12 months from the start date, this agreement will also need to be renewed.
<b>5. Personal data to be provided to XXXXXX</b>
<i>Insert the type of personal data that will be processed.</i>
<b>6. Transmission of personal data</b>
<i>If the personal data is being transmitted between parties, it must be clearly stated what means of transfer, ie. encrypted email, secure mailbox, encrypted file transfer.</i>
<b>7. Security of personal data</b>
<i>See notes re. security required</i>



**8. Retention of personal data**

XXXXXXXXXXXX will securely retain **The School's** data until completion or termination of the contract.

**9. Destruction of personal data**

Upon completion or termination of the contract, all **The school** data must be returned to the **school** and then wiped from XXXXXXXXXX computer systems to British HMG InfoSec Standard 5 (Enhanced Standard) levels or equivalent.

**10. Subject access requests**

**The School** has a process to manage Subject Access Requests and agrees to service where required, all subject access requests received. Any requests received in relation to information held by **the School** that has derived from this agreement will be dealt with under this process and will be responded to within 1 month..

**11. Amending, transferring or deleting personal data**

XXXXXXXXXX must not amend any of **The School's** data. XXXXXXXXX must not transfer any of **The School's** data to any external or third party for any reason. XXXXXXXX must not delete any of **The School** data other than at the end of the contract and in accordance with section 10 of this agreement.

**12. Record-keeping and auditing compliance with this agreement**

**The School** will store a copy of this agreement. The **school** can at any time request and carry out a site visit to XXXXXXXXXX to audit compliance to the agreement. Or the **school** may request such documents, or any other material, from XXXXXXXXXXXXXXXX that will enable an audit of the agreement at any time.

**13. Complaints**

In the event of a complaint/allegation of misuse of personal information against XXXXXXXXXX, the **school** will seek and follow the advice from the Information Commissioner.

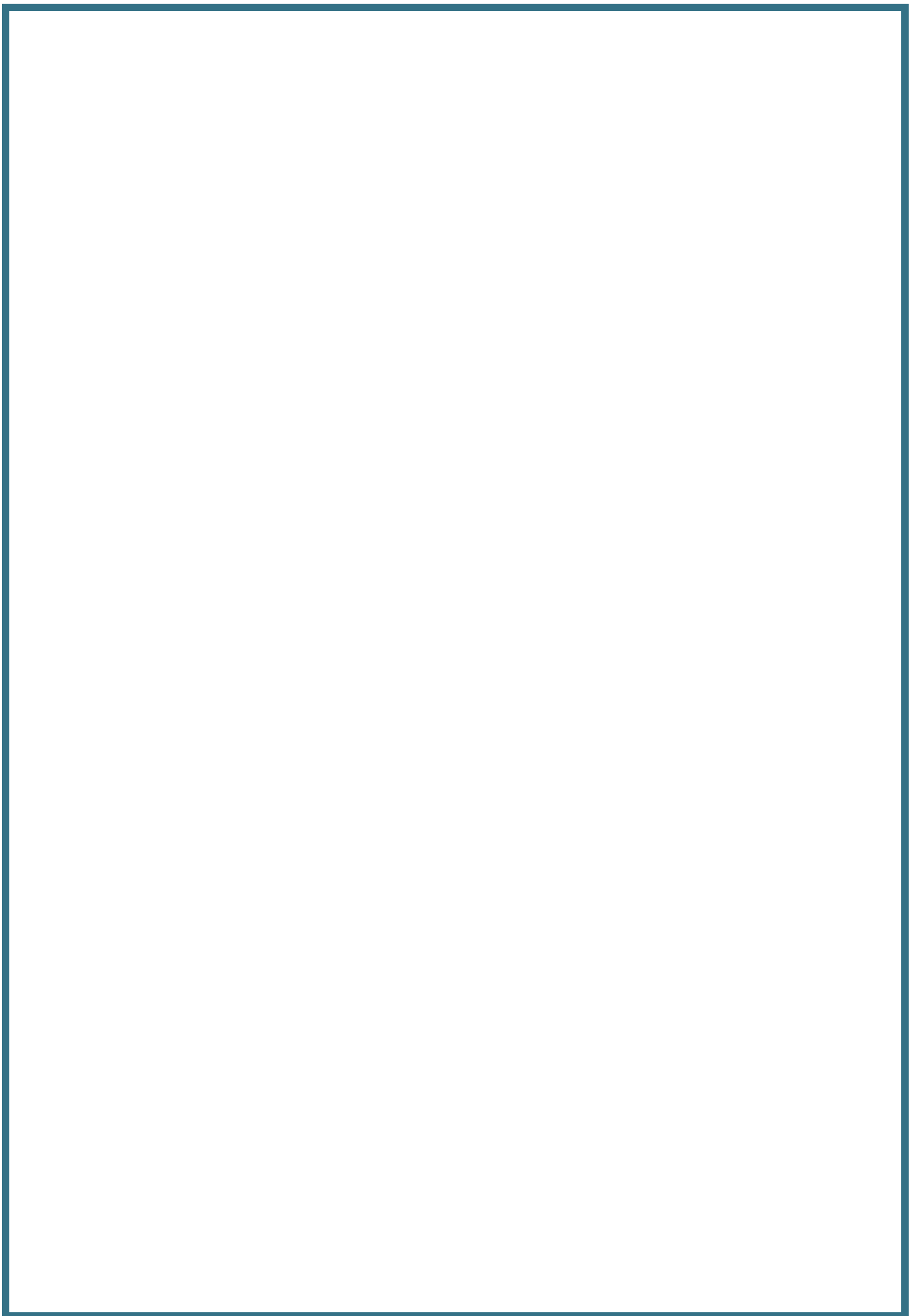
**14. Breach of the data processor agreement**

XXXXXXXXXXXX acknowledges and agrees that **The School** retain all rights, title and interest in the personal data subject to this agreement. **The School** remain the Data Controllers and are responsible for the processing carried out by XXXXXXXXXXXXXXXXXXXXXXXX.

On this basis, XXXXXXXXXXXXXXXX will fully indemnify **The School** in respect of any monetary penalty issued by the Information Commissioner's Office and any other claim, loss, liability or costs incurred arising as a result of a breach of this Agreement or as a result of any negligence or breach of statute or common law in processing the information disclosed to it.

**15. Signatories**

For <b>The School</b> :	For XXXXXXXXXXXXXXXX:
Signed.....	Signed.....
Full name .....	Full name .....
Position.....	Position.....
Date.....	Date.....



## Appendix 2

### Suggested response to subject access request

Address line one)

(Address line two)

(Town)

(County)

(Postcode)

(Date)

GDPR subject access request

Dear (insert name of parent/carer),

Thank you for your letter of (insert date) making a data subject access request for (insert subject requested).

[Delete one of the following options as applicable]

[Option 1 – Acknowledgment of request]

I am writing to let you know that we have received your request and will process it as soon as possible, and certainly within xx school days of the day (1 month maximum) we received the request.

[If a fee is to be charged] Due to the nature of the information that you requested, a fee of (insert amount between £1 and £500) will be charged. This is in accordance with the subject access code of practice and is payable at (the school office).

[Option 2 – Providing the information]

I am pleased to enclose the information you requested.

This data should be treated sensitively and is not for further distribution.

[If a fee is to be charged] Due to the nature of the information that you requested, a fee of (insert amount between £1 and £500) will be charged. This is in accordance with the subject access code of practice and is payable at (the school office).

Copyright in the information you have been given belongs to the (insert name of school). Copyright protected material must not be copied, distributed, modified, reproduced, transmitted, published (including published on the internet or an intranet), or otherwise made available in whole or in part without the prior written consent of the copyright holder.

[Option 3 – Providing partial information]

I am pleased to enclose [some/most] of the information you requested. [If any information has been removed] We have removed any obvious duplicate information that we noticed as we processed your request, as well as any information that is not concerning your child. [If there are gaps in the document] You will notice that parts of the document(s) have been redacted. [If there are fewer documents enclosed] I have not enclosed all of the information you requested. This is because [explain why it is exempt].

This data should be treated sensitively and is not for further distribution.

Copyright in the information you have been given belongs to the (insert name of school). Copyright material must not be copied, distributed, modified, reproduced, transmitted, published (including published on the internet or an intranet), or otherwise made available in whole or in part without the prior written consent of the copyright holder.

[Option 4 – Rejecting the request]

I regret that we cannot provide the information you requested. This is because [explanation where appropriate].

[Give details of an internal review procedure you offer, such as a parents' evening or a meeting with the Headteacher, which could be used to remedy the parent's query.]

Kind regards,

(Insert name)

(Insert job role)